

FORMALISED META-THEORY FOR A VERIFIED TYPE-THEORETIC KERNEL

GdT Malinca – October 22nd 2025

Meven LENNON-BERTRAND



U Agda



LEMN





Great successes!



Agda



LEAN



Great successes!
But what makes them usable?



Pattern-matching



(Strong) records

(Computational) univalence

(Co)Inductive types

Universes

Termination checking

Proof irrelevance





Pattern-matching



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Gradual typing



Modalities

Observational equality

Subtyping





Pattern-matching



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Modalities

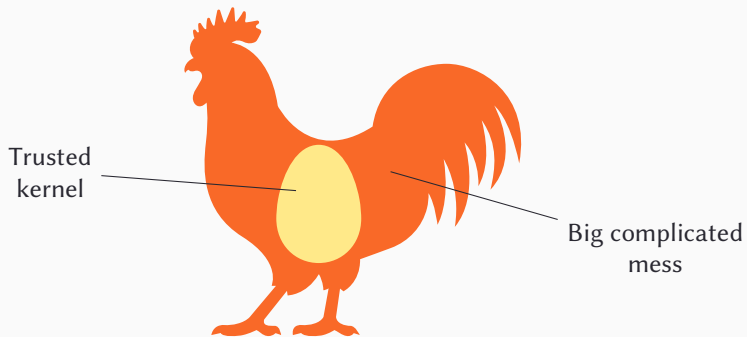
Observational equality

Subtyping

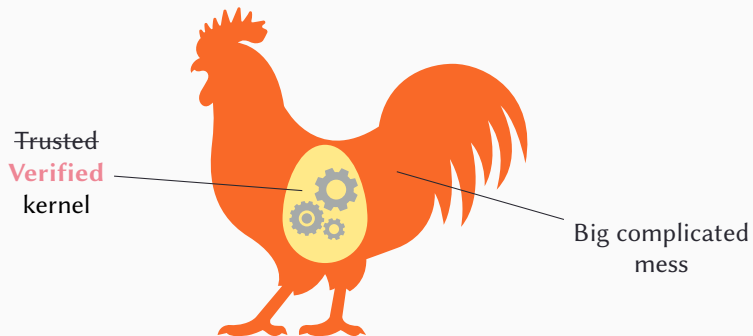
Gradual typing



Real proof assistants are complicated



The de Bruijn architecture



The de Bruijn architecture: a perfect target for **verification**

Rocq's kernel is only a few kLoC of pure functional code. *Surely* it can't be that difficult?

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Dependent type theory + Invariants
=



A diagram consisting of two stacked rectangular boxes. The top box is dark blue and contains the text 'Verified type-checker'. The bottom box is pink and contains the text 'Meta-theory'. The pink box is wider than the blue box and is positioned directly beneath it.

Verified type-checker

Meta-theory

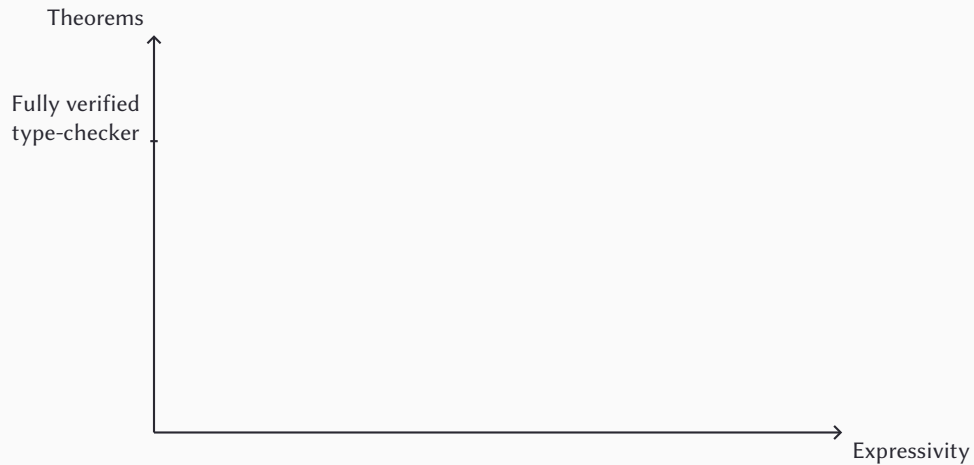
**Verified
extraction**

**Verified
tactics**

...

Verified type-checker

Meta-theory



Coq in Coq (Barras et al. 1997): verified type-checker for the CoC, in Coq.

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Proof-theoretic strength does not measure expressivity

Rocq in Rocq?

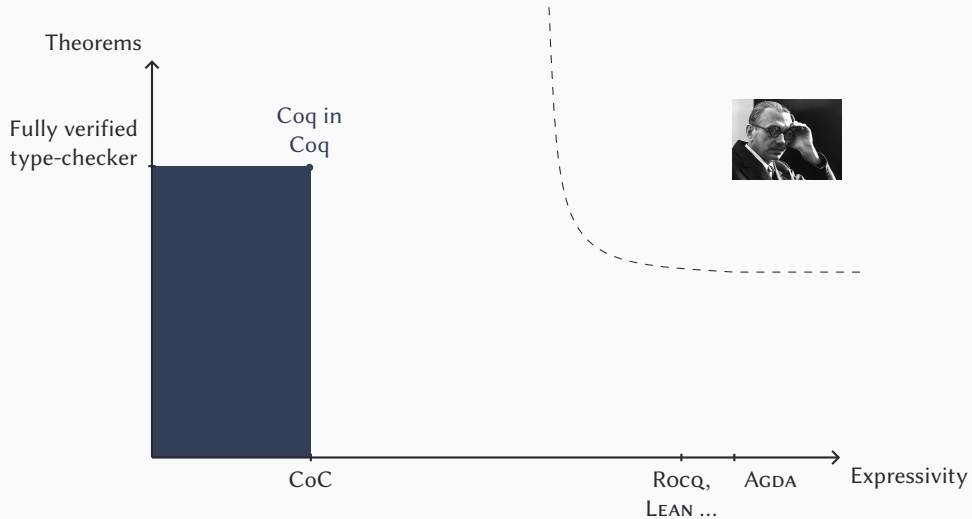
Rocq in Rocq?



Rocq in Rocq?



The meta-theory of an object theory $\mathcal{T}$ in a **(slightly) stronger ambient theory $\mathcal{T}'$** .
Or: **almost all** the meta-theory of a theory $\mathcal{T}$ in $\mathcal{T}$ itself.



A long shopping list:

- substitution for term variables
- substitution for universe variables
- weakening
- strengthening
- injectivity of type constructors
- confluence
- standardisation
- safety
- progress
- preservation/subject reduction
- uniqueness of types
- canonicity
- logical consistency
- normalisation
- decidability

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What properties are needed to verify what?

How do we prove these properties?

DEPENDENT TYPE THEORY

WHAT'S TYPE THEORY ANYWAY?



Many answers

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Many answers, but let's say:

- a system of rules/a language
- intended to capture
 - valid logical/mathematical inferences
 - valid properties of programs

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Many answers, but let's say:

- a system of rules/a language
 - intended to capture
 - valid logical/mathematical inferences
 - valid properties of programs
- } Curry-Howard: **These are essentially the same!**

$$\frac{\Gamma \vdash t : A \rightarrow B \quad \Gamma \vdash u : A}{\Gamma \vdash t u : B}$$

$$\frac{\Gamma, x:A \vdash t : B}{\Gamma \vdash \lambda x:A. t : A \rightarrow B}$$

$$\frac{\Gamma \vdash t : A \rightarrow B \quad \Gamma \vdash u : A}{\Gamma \vdash t u : B}$$

$$\frac{\Gamma, x:A \vdash t : B}{\Gamma \vdash \lambda x:A. t : A \rightarrow B}$$

Formally read as:

- inductively defined predicates on tree-like structures
- directly defining an algebraic structure

Dependent types:

$$\frac{\Gamma \vdash A \quad \Gamma \vdash n : \mathbb{N}}{\Gamma \vdash \text{Vect } A \, n}$$

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The real beast is **conversion/definitional equality**:

$$\frac{\Gamma \vdash t : A \quad \Gamma \vdash A \cong B}{\Gamma \vdash t : B}$$

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The real beast is **conversion/definitional equality**:

$$\frac{\Gamma \vdash t : A \quad \Gamma \vdash A \cong B}{\Gamma \vdash t : B}$$

$$\frac{\Gamma \vdash [1; 2; 7] : \text{Vect } \mathbb{N} \, (1 + 1 + 1) \quad \Gamma \vdash \text{Vect } \mathbb{N} \, (1 + 1 + 1) \cong \text{Vect } \mathbb{N} \, 3}{\Gamma \vdash [1; 2; 7] : \text{Vect } \mathbb{N} \, 3}$$

DEFINITIONAL EQUALITY

The least **equivalence relation**

$$\text{REFL} \frac{\Gamma \vdash t : A}{\Gamma \vdash t \cong t : A}$$

$$\text{SYM} \frac{\Gamma \vdash t \cong u : A}{\Gamma \vdash u \cong t : A}$$

$$\text{TRANS} \frac{\Gamma \vdash t \cong u : A \quad \Gamma \vdash u \cong v : A}{\Gamma \vdash t \cong v : A}$$

DEFINITIONAL EQUALITY

The least **equivalence relation** which is **congruent**,

$$\begin{array}{c} \text{REFL} \frac{\Gamma \vdash t : A}{\Gamma \vdash t \cong t : A} \qquad \text{SYM} \frac{\Gamma \vdash t \cong u : A}{\Gamma \vdash u \cong t : A} \qquad \text{TRANS} \frac{\Gamma \vdash t \cong u : A \quad \Gamma \vdash u \cong v : A}{\Gamma \vdash t \cong v : A} \\[2ex] \text{APP CONG} \frac{\Gamma \vdash t \cong t' : \Pi x : A. B \quad \Gamma \vdash u \cong u' : A}{\Gamma \vdash t u \cong t' u' : B[x := u]} \qquad \dots \end{array}$$

DEFINITIONAL EQUALITY

The least **equivalence relation** which is **congruent**, contains **computation**,

$$\text{REFL} \frac{\Gamma \vdash t : A}{\Gamma \vdash t \cong t : A} \quad \text{SYM} \frac{\Gamma \vdash t \cong u : A}{\Gamma \vdash u \cong t : A} \quad \text{TRANS} \frac{\Gamma \vdash t \cong u : A \quad \Gamma \vdash u \cong v : A}{\Gamma \vdash t \cong v : A}$$

$$\text{APPCONG} \frac{\Gamma \vdash t \cong t' : \Pi x : A. B \quad \Gamma \vdash u \cong u' : A}{\Gamma \vdash t u \cong t' u' : B[x := u]} \quad \dots$$

$$\beta_{\text{FUN}} \frac{\Gamma \vdash A \quad \Gamma, x : A \vdash B \quad \Gamma, x : A \vdash t : B \quad \Gamma \vdash u : A}{\Gamma \vdash (\lambda x : A. t) u \cong t[x := u] : B[x := u]}$$

DEFINITIONAL EQUALITY

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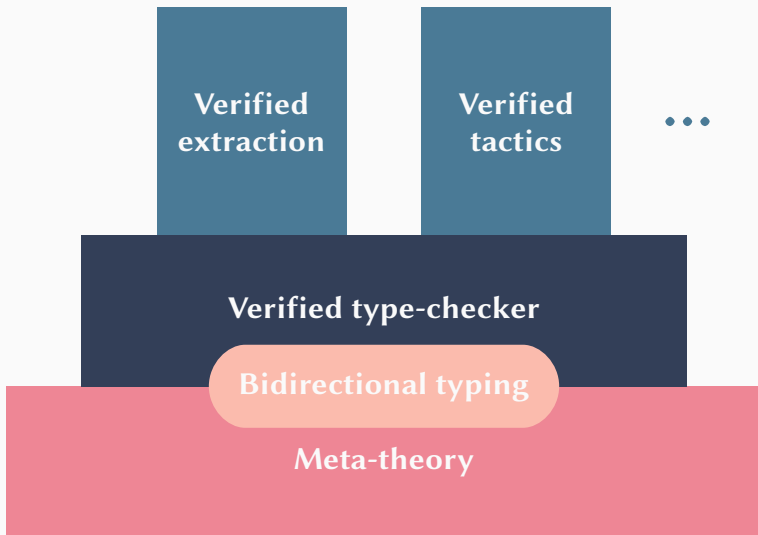
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If we orient β -rules, we get **reduction** $\rightarrow$.

BIDIRECTIONAL TYPING



Inference and checking

$\Gamma \vdash t : A$ separates into

inference: $\Gamma \vdash t \triangleright A$

checking: $\Gamma \vdash t \triangleleft A$

Similar “meaning”, different modes: **input**/subject/**output**.

$$\frac{\vdash \Gamma \quad (x:A) \in \Gamma}{\Gamma \vdash x : A}$$

$$\frac{\Gamma \vdash t : \Pi x:A.B \quad \Gamma \vdash u : A}{\Gamma \vdash t u : B[x := u]}$$

- Globally enforce well-formation

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$$\frac{\Gamma \vdash t \triangleright_h \Pi x:A.B \quad \Gamma \vdash u \triangleleft A}{\Gamma \vdash t u \triangleright B[x := u]}$$

- Globally enforce well-formation $\rightarrow$ **Well-formation as an invariant**
- Clear **information flow**

STRUCTURE!

$$\frac{\vdash \Gamma \quad (x:A) \in \Gamma}{\Gamma \vdash x : A}$$

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$$\frac{\Gamma \vdash t : T \quad \Gamma \vdash T \cong T'}{\Gamma \vdash t : T'}$$

- ~~Globally enforce well-formation~~ $\rightarrow$ Well-formation as an invariant
- Clear information flow
- Unconstrained conversion

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$$\frac{\Gamma \vdash t \triangleright T \quad \Gamma \vdash T \rightarrow^* T'}{\Gamma \vdash t \triangleright_h T'}$$

- ~~Globally enforce well-formation~~ → Well-formation as an invariant
- Clear information flow
- ~~Unconstrained conversion~~ → Computation ($\rightarrow^*$ or $\cong$) **guided by the mode**

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$\Gamma \vdash t : A$ separates into

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Similar “meaning”, different modes: **input**/subject/**output**.

McBride: *A rule is a server for its conclusion and a client for its premises.*

- Modes guide invariant preservation
- In a conclusion, you **assume** inputs are well-formed, and **ensure** outputs are
- In a premise, you **ensure** inputs are well-formed, and **assume** outputs are

Positive soundness

If the algorithm answers **yes** (i.e. $\Gamma \vdash t \triangleright T$) and its preconditions are met, then $\Gamma \vdash t : T$.

Negative soundness

If the algorithm answers **no** (i.e. $\Gamma \vdash t \triangleright \text{⚡}$) and its preconditions are met, then t is not typable.

Totality

If its preconditions are met, the algorithm always answers.

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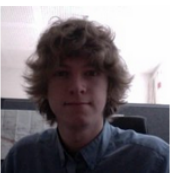
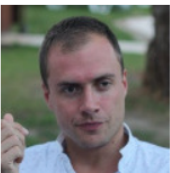
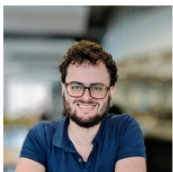
If its preconditions are met, the algorithm always answers.

Positive soundness + Negative soundness + Totality $\Rightarrow$

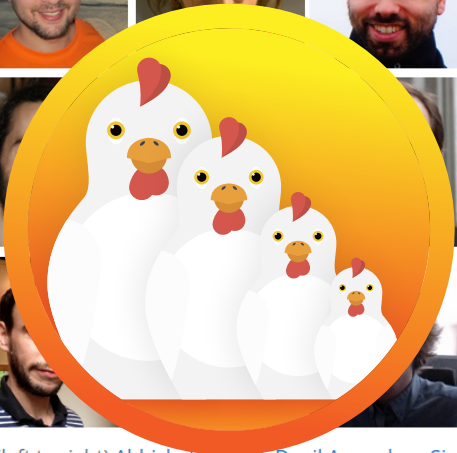
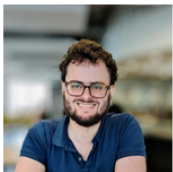
Completeness the answer is always yes on typable terms

Decidability

METAROCQ



MetaCoq is developed by (left to right) Abhishek Anand, Danil Annenkov, Simon Boulrier, Cyril Cohen, Yannick Forster, Jason Gross, Meven Lennon-Bertrand, Kenji Maillard, Gregory Malecha, Jakob Botsch Nielsen, Matthieu Sozeau, Nicolas Tabareau and Théo Winterhalter.



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The Predicative Calculus of Universe-Polymorphic Inductive Constructions (PCUIC)

A dependent type theory with

- Very general (co-)inductive types
- Pattern-matching and fixed-points
- Complex universes + cumulativity

What real users need!

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- Normalisation axiom to implement a verified type-checker
- Verified extraction, meta-programming...

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What real users need!

Rocq, in



mattam82

added on 27 Nov 2020

part: kernel

priority: high

kind: inconsistency

kind: bug

labels

- Formalised metatheory
- Normalisation axiom to implement
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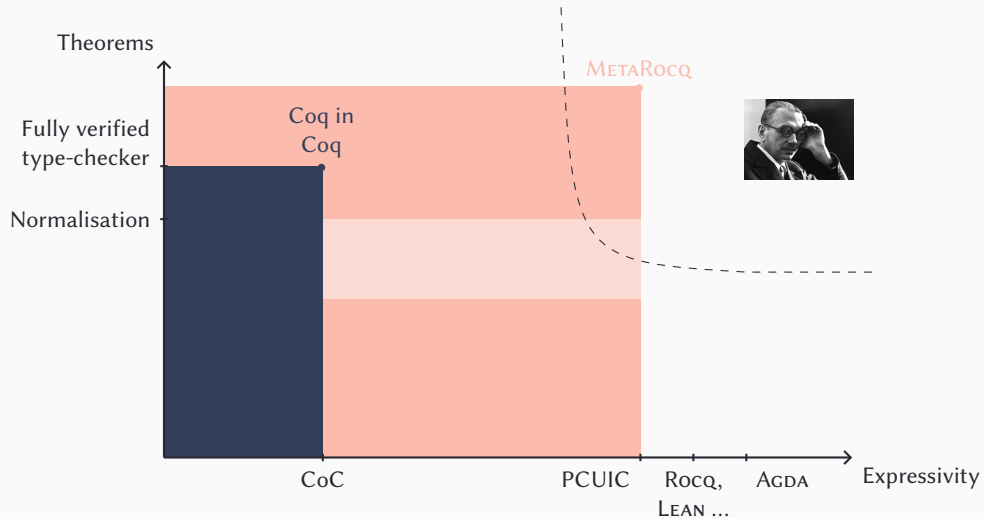
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→ **Evaluation terminates.** Consequences:

- totality ♥
- logical consistency ⚠



THE \$1000 QUESTION: INVERSION

If you know $T \cong T'$, **what can you conclude?**

- $T \cong \prod x: A.B \stackrel{?}{\Rightarrow} T \rightarrow^* \prod x: A'.B'?$
- $\prod x: A.B \cong \prod x: A'.B' \stackrel{?}{\Rightarrow} A \cong A' \wedge B \cong B'?$
- $\prod x: A.B \cong \mathbb{N} \stackrel{?}{\Rightarrow} \perp?$
- $S m \cong S n \stackrel{?}{\Rightarrow} m \cong n?$
- $S m \cong 0 \stackrel{?}{\Rightarrow} \perp?$
- ...

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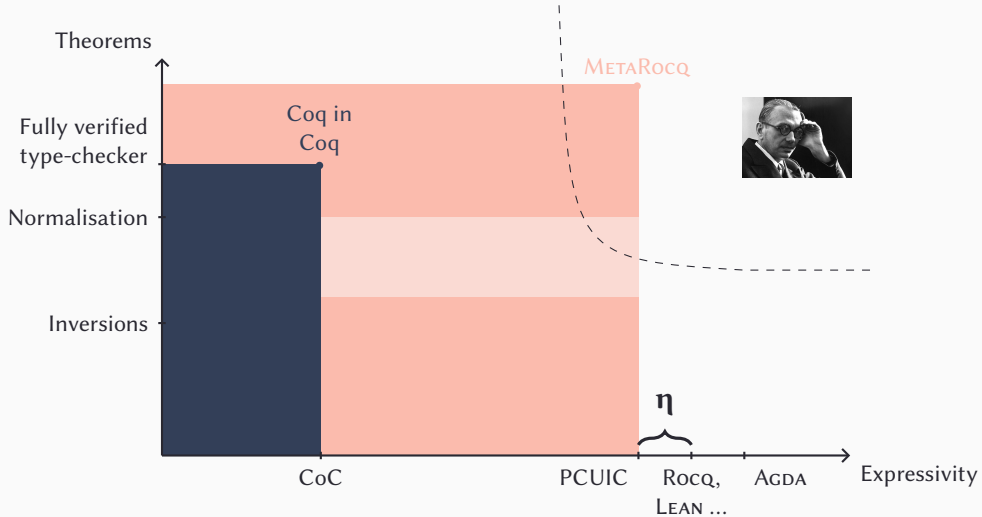
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Confluence^{*}

(after Tait, Martin-Löf, Takahashi)

^{*} **Only works** for a **rather poor** notion of conversion...



MARTIN-LÖF À LA Coq



Arthur Adjedj



Kenji Maillard



Pierre-Marie Pédrot



Loïc Pujet

$$\eta^{\text{FUN}} \frac{\Gamma \vdash f : \Pi x: A. B}{\Gamma \vdash f \cong \lambda x: A. f \ x : \Pi x: A. B}$$

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Might look easy (?), but:

- crucial in practice
- does not really work with confluence
- a very typed notion

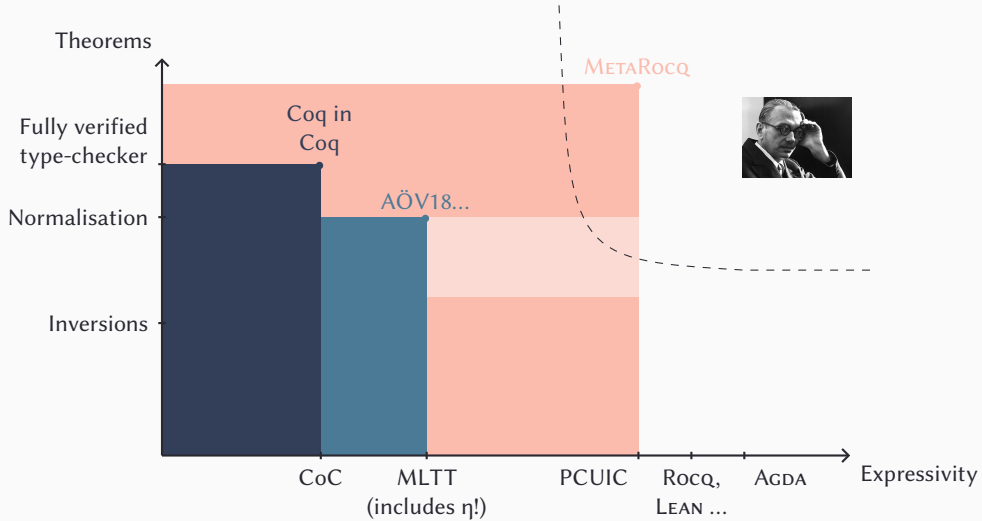
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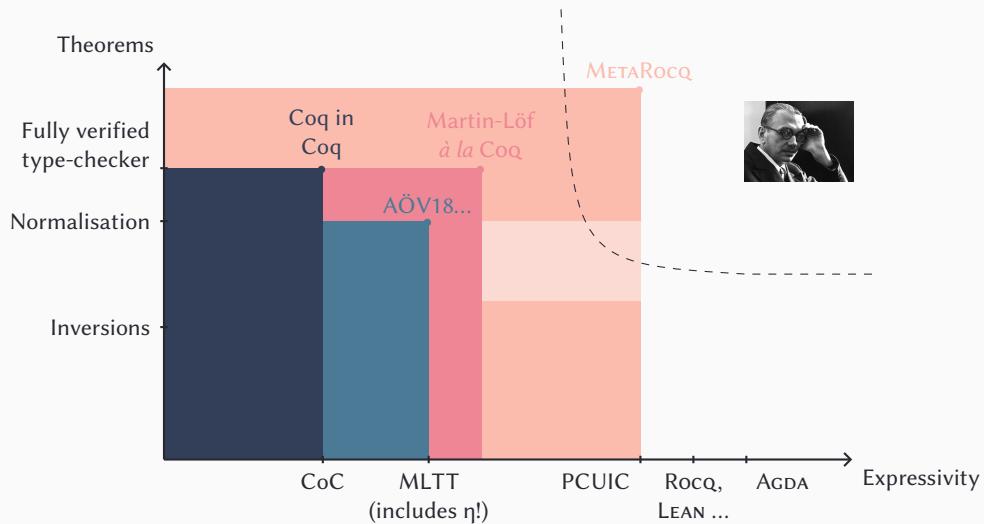
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RocQ has a clever **untyped implementation**, but what's its **specification**?





Conversion is bidirectional too !

Conversion is bidirectional too ...

even if it does not compute types!

Conversion is bidirectional too ...

even if it does not compute types!

The important part is **invariant preservation**.

Positive soundness

Requires inversion for types.

Negative soundness

Requires inversion for types and terms.

In particular: **no normalisation!**

Conjecture: requires **low logical power**.

Positive soundness

Requires inversion for types.

Negative soundness

Requires inversion for types and terms.

In particular: **no normalisation!**

Conjecture: requires **low logical power**.

Termination

Requires inversion for types and normalisation.

HOW TO PROVE THE PROPERTIES?

	Logical relation [AÖV17; Adj+24]	Gluing/Nf Model [Ste21; BKS23]	Rewriting/Confluence [Tak95]/METARocQ	Domain model [CH18]
Weak ambient theory	✗	✗	✓	✓
Normalisation	✓	✓	✗	✗
η laws	✓	✓	✗	✓
Formalised	✓	✓ / ✗	✓	✗
	Most explored Difficult to scale	Formalisation currently difficult	Insane scaling	Very unexplored

HOW TO PROVE THE PROPERTIES?

	Logical relation [AÖV17; Adj+24]	Gluing/Nf Model [Ste21; BKS23]	Rewriting/Confluence [Tak95]/METARocQ	Domain model [CH18]
Weak ambient theory	✗	✗	✓	✓
Normalisation	✓			✗
η laws	✓	There is space for exploration!		✓
Formalised	✓			✗
	Most explored Difficult to scale	Formalisation currently difficult	Insane scaling	Very unexplored

HOW TO PROVE THE PROPERTIES?

	Logical relation [AÖV17; Adj+24]	Gluing/Nf Model [Ste21; BKS23]	Rewriting/Confluence [Tak95]/METARocQ	Domain model [CH18]
Weak ambient theory	✗	✗	✓	✓
Normalisation	✓			✗
η laws	✓	There is space for exploration!		✓
Formalised	✓	... but I have peculiar requirements.		✗
	Most explored Difficult to scale	Formalisation currently difficult	Insane scaling	Very unexplored

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