À BAS L'η Coq's troublesome η-conversion

Meven LENNON-BERTRAND – Gallinette team, Inria Joint with the MetaCoq team WITS 2022

SETTING UP THE SCENE

$\eta\text{-}conversion$ in Coq

```
Coq has \eta-conversion
Goal f = (fun x \Rightarrow f x).
reflexivity.
Qed.
```

Also, primivite records/strong sums/negative sums.

$\eta\text{-}conversion$ in Coq

```
Coq has \eta-conversion
Goal f = (fun x \Rightarrow f x).
reflexivity.
Qed.
```

Also, primivite records/strong sums/negative sums.

Under the hood

Untyped conversion testing:

- reduce to weak-head normal form
- expand only neutrals against abstractions

$\eta\text{-}conversion$ in Coq

```
Coq has \eta-conversion
Goal f = (fun x \Rightarrow f x).
reflexivity.
Qed.
```

Also, primivite records/strong sums/negative sums.

Under the hood

Untyped conversion testing:

- reduce to weak-head normal form
- expand only neutrals against abstractions

The implementation is **not** the troublesome part!

CONVERSION(S) IN METACOQ

MetaCoq

- metatheory of Coq, in Coq
- correct and complete type-checker

CONVERSION(S) IN METACOQ

MetaCoq

- metatheory of Coq, in Coq
- correct and complete type-checker

Declarative conversion

$$\frac{\Gamma \vdash t_1 \equiv t_2 \qquad \Gamma \vdash t_2 \equiv t_3}{\Gamma \vdash t_1 \equiv t_3} \qquad \qquad \frac{\Gamma \vdash t \equiv t' \qquad \Gamma \vdash u \equiv u'}{\Gamma \vdash t u \equiv t' u'}$$

 $\Gamma \vdash (\lambda \, x \colon A.t) \, \, u \equiv t\{x := u\}$

CONVERSION(S) IN METACOQ

MetaCoq

- metatheory of Coq, in Coq
- correct and complete type-checker

Declarative conversion

$$\frac{\Gamma \vdash t_1 \equiv t_2 \quad \Gamma \vdash t_2 \equiv t_3}{\Gamma \vdash t_1 \equiv t_3} \qquad \qquad \frac{\Gamma \vdash t \equiv t' \quad \Gamma \vdash u \equiv u'}{\Gamma \vdash t \; u \equiv t' \; u'}$$

$$\Gamma \vdash (\lambda \, x : A.t) \, u \equiv t\{x := u\}$$

Algorithmic conversion

$$\Gamma \vdash t \Downarrow u := \underset{t' = \alpha}{\overset{t}{\downarrow}} \overset{u}{\underset{t'}{\downarrow}} \underset{u'}{\overset{\downarrow}{\downarrow}}$$

THE PLAN

Step 1: Sweat



· transitivity of \Downarrow and equivalence of \Downarrow and \equiv on all terms

The plan

Step 1: Sweat

• confluence:



- simulation:
- · transitivity of \Downarrow and equivalence of \Downarrow and \equiv on all terms

Step 2: Enjoy!

- injectivity of type constructors
- subject reduction
- \cdot the conversion test and type-checker meet their specification

Extending MetaCoq with η

$f \rightarrow \lambda x : A.f x$

$f \rightarrow \lambda \; x : A.f \; x$

Everybody knows it's the way to go!

$f \rightarrow \lambda \; x : A.f \; x$

Everybody knows it's the way to go!

But not without types...

$\lambda x : A \cdot f x \to f$ if x is not free in f

 $\lambda x : A.f x \rightarrow f$ if x is not free in f

Bad interaction with annotations and cumulativity:

$$\begin{array}{c} \lambda \, x : \Box_0 . (\lambda \, y : \Box_1 . y) \, x \\ \swarrow \, \beta \stackrel{\frown}{\frown} \quad & \stackrel{\frown}{\frown} \eta \\ \lambda \, x : \Box_0 . x \stackrel{\frown}{\longleftarrow} \quad & \stackrel{\frown}{\frown} \eta \\ \end{array}$$

 $\lambda x : A.f x \rightarrow f$ if x is not free in f

Bad interaction with annotations and cumulativity:

$$\begin{array}{c} \lambda \, x : \Box_0 . (\lambda \, y : \Box_1 . y) \, x \\ \swarrow \, \gamma \, \swarrow \, \gamma \, \swarrow \, \gamma \, \swarrow \, \lambda \, y : \Box_1 . y \end{array}$$

Breaks

- \cdot confluence
- subject reduction, since $\vdash \lambda x : \Box_0 . (\lambda y : \Box_1 . y) x : \Box_0 \to \Box_1$ and $\vdash \lambda y : \Box_1 . y : \Box_1 \to \Box_1$ but $\not\vdash \lambda y : \Box_1 . y : \Box_0 \to \Box_1$

EQUALITY

Take inspiration from the implementation:

. . .

$$\frac{t x =_{\alpha} u}{t =_{\alpha} \lambda x : A . u} \qquad \qquad \frac{t =_{\alpha} u x}{\lambda x : A . t =_{\alpha} u}$$

EQUALITY

Take inspiration from the implementation:

$$\frac{t \, x_{=_{\alpha}} \, u}{t_{=_{\alpha}} \, \lambda \, x : A \cdot u} \qquad \frac{t_{=_{\alpha}} \, u \, x}{\lambda \, x : A \cdot t_{=_{\alpha}} \, u}$$

Goes some way, but hits a wall again:

. . .

if true then 0 else 1 = $_{\alpha}$ if $(\lambda x : \Box_0.true x)$ then 0 else 1 \downarrow 0

EQUALITY

Take inspiration from the implementation:

$$\frac{t \, x =_{\alpha} \, u}{t =_{\alpha} \lambda \, x : A \cdot u} \qquad \qquad \frac{t =_{\alpha} \, u \, x}{\lambda \, x : A \cdot t =_{\alpha} \, u}$$

Goes some way, but hits a wall again:

. . .

```
if true then 0 else 1 =_{\alpha} if (\lambda x : \Box_0.true x) then 0 else 1

\downarrow \downarrow \downarrow \downarrow \downarrow \downarrow \downarrow \downarrow \downarrow
```

Still well-behaved on typed terms, but this is not enough for the plan.

HOW DO WE GET OUT?

Reduction

- Erase annotations to regain confluence
- \cdot Contravariant products or separate $\eta\text{-reduction}$ to regain SR

Reduction

- Erase annotations to regain confluence
- \cdot Contravariant products or separate $\eta\text{-reduction}$ to regain SR

Equality

Find a way to cut the loop?

The addition of η -conversion is justified by the confidence that the formulation of [CIC] based on typed equality [...] is applicable to the concrete implementation of Coq.

Coq 8.4 summary of changes

The addition of η -conversion is justified by the confidence that the formulation of [CIC] based on typed equality [...] is applicable to the concrete implementation of Coq.

Coq 8.4 summary of changes

Can we prove anything at all about such a type system ? In Coq?

THANK YOU!